



WIOA FUNDAMENTALS: UNDERSTANDING THE BASICS



Workforce Innovation and Opportunity Act

Section 166 Program Purpose

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Public Law 113-128, The Workforce Innovation and Opportunity Act (WIOA), was passed in 2014. Section 166, Native American Programs, defines its purpose:

Subtitle D—National Programs

SEC. 166. NATIVE AMERICAN PROGRAMS.

(a) PURPOSE.—

(1) IN GENERAL.—The purpose of this section is to support employment and training activities for Indian, Alaska Native, and Native Hawaiian individuals in order—

(A) to develop more fully the academic, occupational, and literacy skills of such individuals;

(B) to make such individuals more competitive in the workforce and to equip them with the entrepreneurial skills necessary for successful self-employment; and

(C) to promote the economic and social development of Indian, Alaska Native, and Native Hawaiian communities in accordance with the goals and values of such communities.

WIOA Indian Policy

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As defined in the Act:

(2) INDIAN POLICY.—All programs assisted under this section shall be administered in a manner consistent with the principles of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450 et seq.) and the government-to-government relationship between the Federal Government and Indian tribal governments.



Native American Employment and Training Council Authorized Under WIOA

The Law established an Advisory Council as follows:

(4) ADVISORY COUNCIL.—

(A) IN GENERAL.—Using funds made available to carry out this section, the Secretary shall establish a Native American Employment and Training Council to facilitate the consultation described in paragraph (2) and to provide the advice described in subparagraph (C).

(B) COMPOSITION.—The Council shall be composed of individuals, appointed by the Secretary, who are representatives of the entities described in subsection (c).

(C) DUTIES.—The Council shall advise the Secretary on the operation and administration of the programs assisted under this section, including the selection of the individual appointed as head of the unit established under paragraph (1).

Establishment.
Consultation.



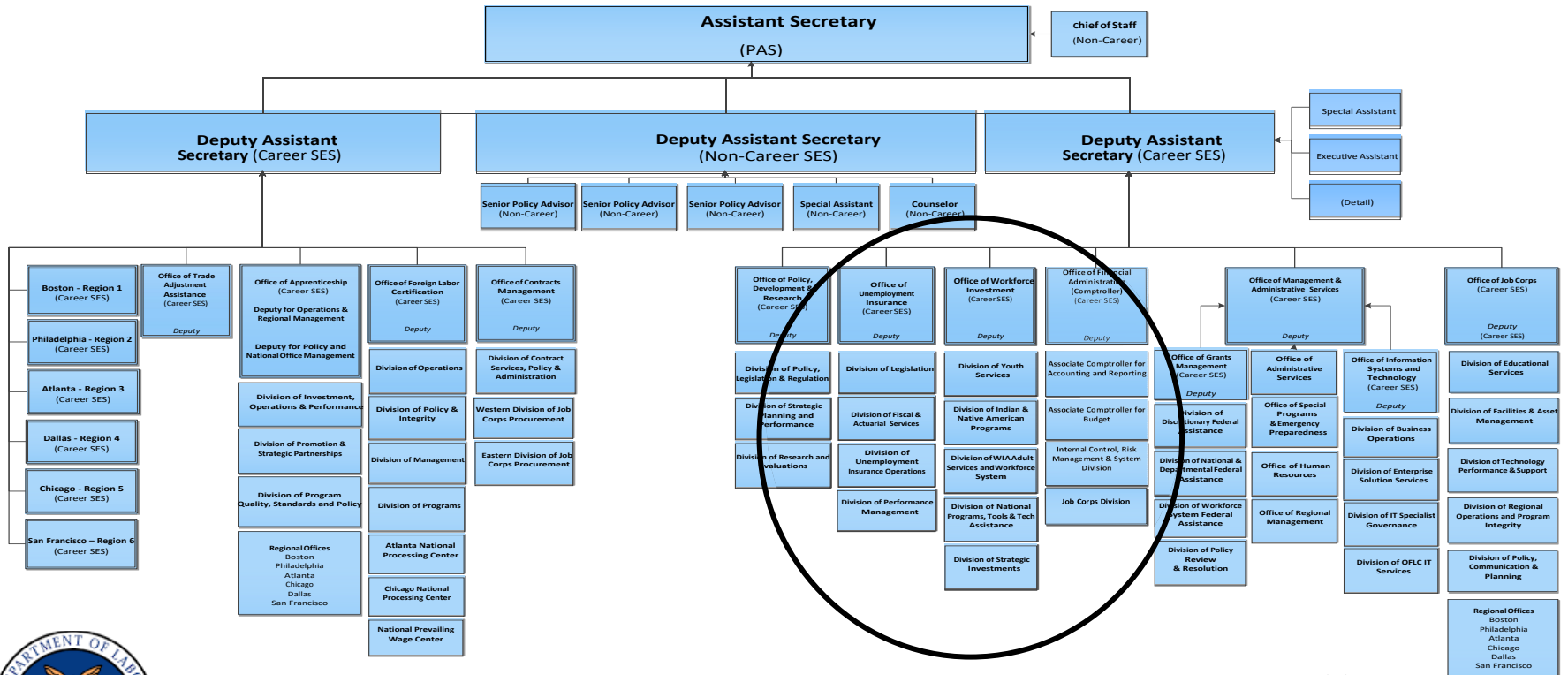
Public Law 102-477 Under WIOA

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(f) CONSOLIDATION OF FUNDS.—Each entity receiving assistance under subsection (c) may consolidate such assistance with assistance received from related programs in accordance with the provisions of the Indian Employment, Training and Related Services Demonstration Act of 1992 (25 U.S.C. 3401 et seq.).



DOL/ETA/DINAP Organization and Structure



Laws, Regulations and Guidance Every WIOA Director Should Know

DINAP Laws and Regulations Resources

- [The Workforce Innovation and Opportunity Act \(WIOA\)](#) 298 pages
 - [Sec. 166](#) of WIOA authorizes the Native American employment and training program in four pages and is a general review of the Native American Programs
- [The WIOA Final Rule \(WIOA regulations\)](#) 399 pages
 - [Part 684](#) of the Final Rule are the Native American specific regulations which implement and provide more specific rules on WIOA grant administration and is only 10 pages

Laws, Regulations and Guidance Every WIOA Director Should Know (Continued)

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- [2 CFR - Uniform Administrative Requirements, Cost Principles & Audit Requirements for Federal Awards](#)
 - Replaces OMB Circulars A-21, A-87, A-110 and A-122, A-102 & A-133
 - Provides guidance on federal awards for all federal agencies
 - Where most disallowed costs are cited
- [Training and Employment Guidance Letters \(TEGLs\)](#)
 - Transmits DOL, Employment & Training Administration (ETA's) policy and other guidance
- [Grant Terms and Conditions](#)
 - Provides specific “do’s and don’ts” for Native American WIOA grants.

Roles and Responsibilities Federal Project Officer

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Federal Project Officers (FPOs) - [2018 Core Monitoring Guide.pdf](#)

The FPO is:

- Your first point of contact
- Serves as a liaison between the DINAP program office and the Grant Officer (Office of Grants Management)
- Reports to the Division Chief and serves as the Grant Officer's Technical Representative (GOTR)
- Maintains a working file of the grants assigned to him/her
- Reviews, Approves, Accepts and Certifies all reports
- Conducts on-site monitoring reviews and works with the Grant Officer on Initial/Final Determinations
- Provides training and technical assistance as needed

Roles and Responsibilities Grant Officer

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The Grant Officer:

- Reviews and approves grant awards
- Reviews and approves modifications to grants
- Provides technical advice to the GOTR about the terms and conditions of the grant and areas of grant compliance.
- Approves requests from GOTRs of any changes to approved budgets.
- Facilitates no-cost extension requests, if necessary and allowed, well in advance of the approved grant termination date.
- Facilitates the negotiation of terms and conditions of any sub-award agreements
- Communicates with the GOTR about changes to the terms of the grant and other issues.
- Issues Initial and Final Determinations
- Represents the Federal Government in formal hearings and/or courts with the Administrative Law Judge

Program Year Funding and Recap of Funding Opportunity Announcement (FOA)

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- Strategic Four-Year Plan
 - [2018 National Conference Presentations](#)
 - [2018 FOA and Planning Tools](#)
 - [Office of Grants Management Resources and Information](#)
 - [Effectively Managing ETA Competitive Grants - Grantee Handbook](#)
- Submitting SF-424, 424-A and Budget Narrative
- Notice of Award
- Period of Performance
- Budget
- Modifications
- Timelines

Grantee Organizational Structure

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- Grantee's Organizational Structure
- Grantee Key points of contact
- Administrative
 - Key personnel
 - Administrative Policies
 - Personnel Policies and Procedures
 - [Policies and Procedures Training Module](#)
 - Oversight
- Program/Financial Reporting

Grantee Organizational Structure (Continued)

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- Program Activities
 - Planned Program activities
 - Supportive services policy
- Financial Policies and Procedures
 - <https://www.workforcegps.org/resources>

Points of Contact

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